



ANNEXURE "A"

ANNUAL SECRETARIAL COMPLIANCE REPORT OF AUSTIN ENGINEERING COMPANY LIMITED FOR THE YEAR ENDED 31ST MARCH, 2022

To,
The Board of Directors,
AUSTIN ENGINEERING COMPANY LIMITED
Village: Patla, Tal-Bhesan, Via-Ranpur Sorath
Post-Vishal Hadmatiya, JUNAGADH GJ 362030 IN

I, **MR. KAUSHIK JAYANTILAL SHAH**, a partner of **SHAHS & ASSOCIATES, COMPANY SECRETARIES**, having office at 305, Hrishikesh-II, Near Navrangpura Bus-Stop, Ahmedabad 380 009 have examined:

- all the documents and records made available to us and explanation provided including thereon in digital/ electronic mode by Austin Engineering Company Limited, Village Patla, Tal-Bhesan, Dist. Junagadh Gujarat 362 030 ("the listed entity")
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this clarification,

For the year ended **31st March, 2022** ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable during the Audit Period);**

- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

**KAUSHIK
JAYANTILAL
SHAH**

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Date: 2022.04.15 11:48:01 +05'30'



- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable during the Audit Period);**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable during the Audit Period);**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable during the Audit Period);**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable during the Audit Period);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Other regulations as applicable and circulars/ guidelines issued there under;

and based on the above examination, I **MR. KAUSHIK JAYANTILAL SHAH**, a partner of **SHAHS & ASSOCIATES, COMPANY, COMPANY SECRETARIES** hereby report that, during the said review period:

- (a) The **Austin Engineering Company Limited** has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:

S.N.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of Practicing Company Secretary
1	NIL	NIL	NIL

- (b) The **Austin Engineering Company Limited** has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued there under in so far as it appears from my/our examination of those records.

- (c) The following are the details of actions taken against **Austin Engineering Company Limited** / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

S.N.	Action taken by	Details of violation	Details of action taken e.g., fines, Warring later, debarment	Observations/ remarks of the Practicing Company Secretary
	Not Applicable	NIL	NIL	NIL



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(d) The **Austin Engineering Company Limited** has taken the following actions to comply with the observations made in our previous reports:

S.N.	Observations Of the Practicing Company Secretary in Previous reports	Observation made in the Secretarial compliance report for the Year ended 31/03/2022	Action taken by the listed entity, if any	Comments of the Practicing Company Secretary on the action taken by Austin Engineering Company Limited
1	Not Applicable	NIL	Not Applicable	NIL

Place: AHMEDABAD
Date: 25th April, 2022



**FOR SHAHS & ASSOCIATES
COMPANY SECRETARIES**

**KAUSHIK
JAYANTILAL
SHAH**

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**(KAUSHIK SHAH)
PARTNER**

**FCS No 2420 CP No 1414
UDIN: F002420D000141812
Peer Review No.833/2020**